

Neuropathologic Heterogeneity in HDDD1: A Familial Frontotemporal Lobar Degeneration With Ubiquitin-positive Inclusions and *Progranulin* Mutation

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Abstract: Hereditary dysphasic disinhibition dementia (HDDD) describes a familial disorder characterized by personality changes, and language and memory deficits. The neuropathology includes frontotemporal lobar atrophy, neuronal loss and gliosis and, in most cases, abundant A β plaques and neurofibrillary tangles (NFTs). A Pick/Alzheimer's spectrum was proposed for the original family (HDDD1). Here we report the clinicopathologic case of an HDDD1 individual using modern immunohistochemical methods, contemporary neuropathologic diagnostic criteria to distinguish different frontotemporal lobar degenerations (FTLDs), and *progranulin* (*PRGN*) mutation analysis. Clinical onset was at age 62 years with personality changes and disinhibition, followed by nonfluent dysphasia, and memory loss that progressed to muteness and total dependence with death at age 84 years. There was severe generalized brain atrophy (weight = 570 g). Histopathology showed superficial microvacuolation, marked neuronal loss, gliosis, and ubiquitin-positive, tau-negative cytoplasmic and intranuclear neuronal inclusions in frontal, temporal, and parietal cortices. There were also frequent neuritic plaques and NFTs in parietal and occipital cortices. The case met neuropathologic criteria for both FTLD with ubiquitin-positive, tau-negative inclusions (FTLD-U), and Alzheimer disease

(Braak NFT stage V). We discovered a novel pathogenic *PGRN* mutation c.5913 A > G (IVS6-2 A > G) segregating with FTLD-U in this kindred. In conclusion, HDDD1 is an FTLD-U caused by a *PGRN* mutation and is neuropathologically heterogeneous with Alzheimer disease as a common comorbidity.

Key Words: frontotemporal lobar degeneration, FTLD-U, HDDD1, progranulin mutation, Alzheimer disease

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The term hereditary dysphasic dementia was used in 1984 by Morris et al¹ to describe a kindred of Central-European origin with an autosomal dominant disorder that was characterized by insidious onset in adulthood of changes in behavior and personality, language abnormalities, and memory loss. It was later renamed hereditary dysphasic disinhibition dementia type 1 (HDDD1), to both highlight the behavioral features and recognize another kindred (HDDD2) with a similar phenotype.² The mean age at symptom onset for HDDD1 is 62 years. The primary symptoms are dysphasia (primarily word hesitancy, diminished phrase length, reduction in spontaneous speech, and dysnomia), disinhibition, and bulimia. In addition, dementia (characterized by memory deficits and spatial disorientation), parkinsonism, and focal neurologic signs (hemiparesis and extensor plantar signs) characterize most cases. The disease progresses to muteness, prostration, and severe dementia with an average survival of approximately 8 years.

At autopsy, HDDD1 was marked by left greater than right cerebral atrophy, principally in the frontal and anterior temporal lobes, with corresponding ventricular dilatation (Fig. 1). Histopathologic features included conspicuous spongiform degeneration of the superficial cortical layers (microvacuolation), which in some regions extended across all cortical laminae, pronounced neuronal loss and dense gliosis, both cortical and subcortical (Fig. 2A). No Pick bodies or ballooned cells were present. In addition, in 3 out of 4 cases, there were abundant A β plaques in the frontal cortex and neurofibrillary tangles

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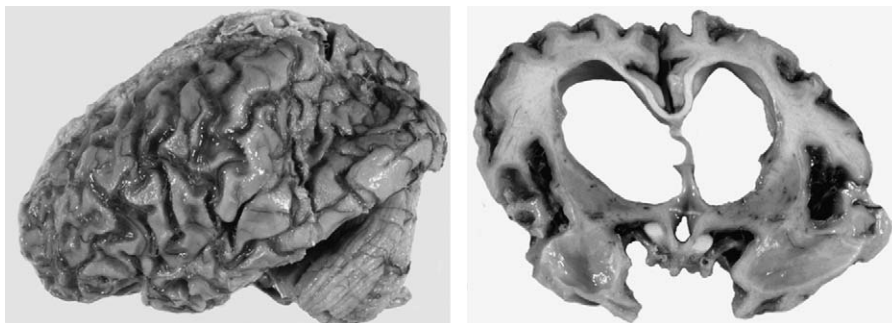
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FIGURE 1. Macroscopy of HDDD1 with FTLD-U and *PGRN* mutation. On the left, global atrophy of the left hemibrain of case no. 1. Gyri are markedly shrunken and sulci widened. The cerebellum is relatively well-preserved. On the right, a coronal slice of the prefrontal lobe showing marked cortical atrophy and ventricular dilatation.



(NFTs) in the hippocampus. The disorder was considered part of a Pick-Alzheimer spectrum of cortical neuronal degeneration.

An additional member of the HDDD1 kindred recently came to autopsy, providing us with the opportunity to reexamine the original concept of a Pick-Alzheimer spectrum with the application of modern immunohistochemical methods and current criteria for dementing disorders, including frontotemporal lobar degeneration (FTLD),^{3–5} and genotyping for mutations in amyloid precursor protein (*APP*), presenilin 1 and 2 (*PS1* and *PS2*), microtubule-associated protein tau (*MAPT*), and the recently identified gene, progranulin (*PGRN*), which has been shown to cause familial FTLD-U, including HDDD2.^{6,7}

METHODS

Subjects

Clinical information for the case was obtained by interviews with family members, review of medical records, and a detailed neurologic examination at age 74 by one of the authors (J.C.M.). To determine the variability in pathology in members of the HDDD1 family the current case was compared with archival tissues from 3 of the original cases (IV-1, IV-2, and IV-6).¹ Tissue blocks from the fourth case, IV-7, after an extensive search were unavailable for this study.

Neuropathology

The brain was fixed in formalin, paraffin wax-embedded, and sections cut at 6 μ m. Blocks were taken from: frontal, temporal, parietal, and occipital lobes, thalamus, striatum including the nucleus basalis of Meynert, amygdala, hippocampus, midbrain, pons, medulla oblongata, and the cervical spinal cord. Tissue blocks were available from 3 of 4 of the original cases and contemporary immunohistochemistry was performed on these 3 cases, where blocks or unstained sections were available, and compared with the recent case. Histologic stains included: hematoxylin and eosin, Luxol fast blue-hematoxylin with periodic acid-Schiff; cresyl echt violet; modified and Hedreen-Bielschowsky and Gallyas silver impregnations. Immunohistochemistry was performed using the following antibodies: β -amyloid ($A\beta$) (10D5, Elan Pharmaceuticals, San Francisco, CA, and AG8,

Senetek, St Louis, MO); phosphorylated tau, (PHF-1 and Alz-50, kindly supplied by Dr Peter Davies, Albert Einstein Medical School, Bronx, NY; and AT8, Innogenetics, Belgium), glial fibrillary acidic protein (GFAP, Dako, Denmark); ubiquitin (Dako, Denmark and East Acres, MA), α -synuclein (LB-509, Zymed, CA), and progranulin (R&D Systems, CA). To distinguish between tau-positive, ubiquitin-positive and tau-negative, ubiquitin-positive inclusions, double PHF-1 and ubiquitin immunohistochemistry was performed.

DNA Sequencing

High molecular weight DNA was extracted from brain and/or peripheral blood tissue. DNA was available from 4 individuals (one affected with autopsy confirmation). Sequence analysis was performed on all coding exons and flanking intronic sequences of *APP*, *PS*, *MAPT*, and *PGRN* genes. Direct sequencing of the amplified fragments was performed using Big Dye Terminator Cycle Sequencing Ready Reaction Kit (Applied Biosystems, Wellesley, MA) on an ABI 3100 sequencer using standard protocols. Mutation detection was performed using the program Sequencher V4.6 (Gene Codes Corporation, Ann Arbor, MI). Sequence variants were confirmed by comparing genotype status with 45 unrelated clinically confirmed control individuals and subsequently tested for segregation with the disease in the kindred.

RESULTS

Clinical Features

The patient represents family member IV-4 of a 7-generation family of German heritage.¹ At age 62 years there was a change in personality, with egocentricity, irritability, and abuse of alcohol. Three years later she developed nonfluent dysphasia with difficulty naming objects and mild memory loss. At age 66 years she became sexually aggressive and wandered about the neighborhood at night. There was reduced spontaneous output and perseveration of speech with paraphasic errors. She was placed in a nursing home at age 69 years because of difficulties in management. A medical report 2 years later recorded restlessness, impaired auditory comprehension with nonfluent speech, and literal paraphasias. She was unable to read or write. She knew right from left and was

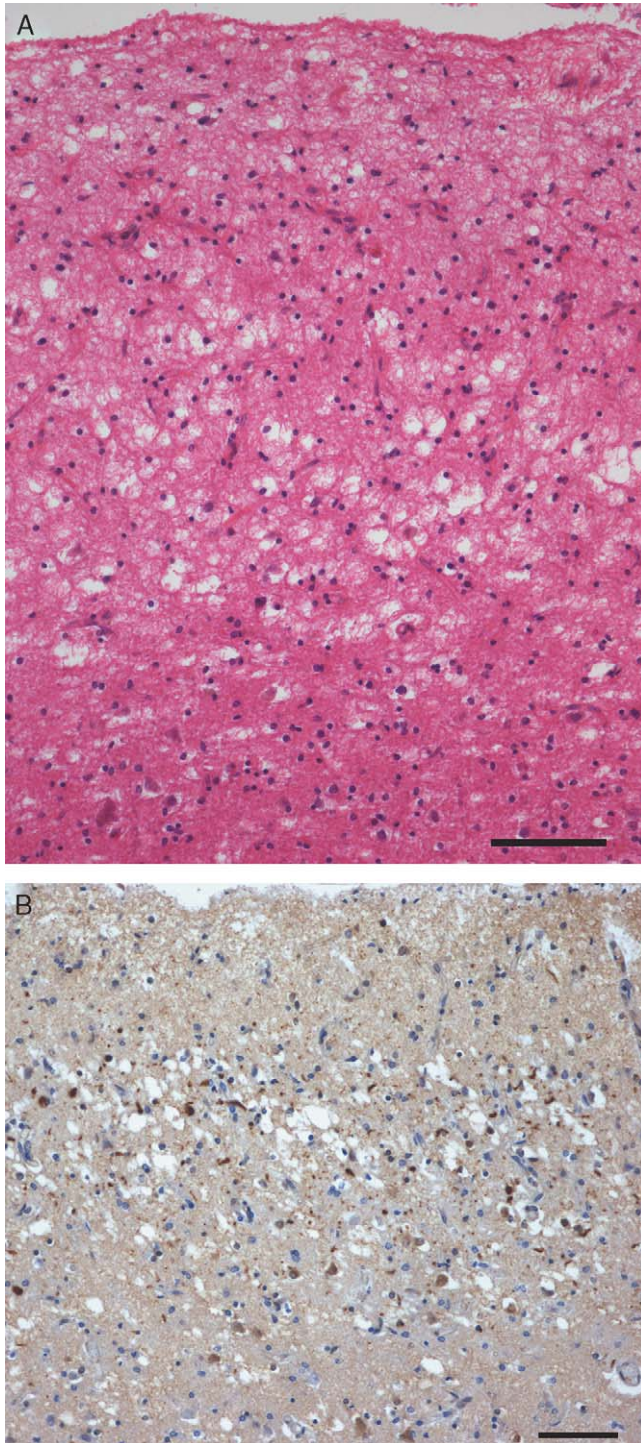


FIGURE 2. Microscopy of HDDD1 with FTLD-U and *PGRN* mutation. A, Transcortical neuronal loss, microvacuolation, and gliosis in the frontal neocortex of case no. 3. Hematoxylin and eosin. B, Low power micrograph of ubiquitin-positive, tau-negative inclusions in the superficial cortical layers of the temporal neocortex of case no. 3. Ubiquitin immunohistochemistry. Bars = 100 μm.

able to copy simple, 2-dimensional figures. There were no physical or focal neurologic abnormalities. By age 72 years the patient was virtually mute, unable to walk or eat without assistance, and did not recognize family members. The examination at 74 years of age showed flexion contractures in both hips and knees and no spontaneous movements except to follow the examiner with the eyes. There was mild right hemiparesis of the face, arm, and leg with bilateral extensor plantar responses. Right greater than left resting tremor was present, with cogwheel rigidity in both wrists and elbows. Positive snout and suck reflexes, and bilateral grasp reflexes were elicited. She remained in a vegetative state for nearly 12 years and died of aspiration pneumonia at age 84 years.

Neuropathology

The brain was severely and globally atrophic, weighing 570 g at the time of autopsy (Fig. 1). The substantia nigra and locus coeruleus were depigmented. Histologic sections disclosed varying degrees of neuronal loss and gliosis affecting many regions (Table 1). There was a disparity between the microscopic appearance of the frontal and temporal lobes and the parietal and occipital lobes. The characteristic features of FTLD were present: FTLD, neuronal loss, microvacuolation that was sometimes confluent in upper cortical laminae, and astrocytic gliosis. Although neuronal loss and astrocytosis involved the entire cortex in some areas, scattered ubiquitin-positive, tau-negative neuronal cytoplasmic inclusions (NCIs), dystrophic neurites (DNs), and occasional neuronal intranuclear inclusions (NIIs) were seen predominantly in the frontal and temporal lobes (Fig. 2), and to a lesser degree in the parietal lobe and subcortical nuclei (Table 2) (Fig. 3). These lesions are identical to those seen in other members of this family (cases 2 to 4), and in both familial cases of FTLD-U with *PGRN* mutations^{6,7} and in some sporadic cases. In this brain there was additional Alzheimer disease (AD) pathology in the parietal and occipital lobes and to a lesser degree the temporal lobe (Figs. 4, 5). Scattered NFTs, neuropil threads, and neuritic plaques (NPs) were present throughout the affected cortices with the highest densities in the parietal and medial occipital lobes. The NFT pathology was consistent with Braak NFT stage V and amyloid stage C. In contrast to the relative abundance of AD pathology in the parietal and occipital lobes, A β plaques and NFTs were relatively sparse in the frontal and temporal lobes. No Pick bodies were present. Neurofibrillary tangles were observed in the CA4-CA2 subfields of the hippocampus and dentate gyrus, and the CA1 subfield and subiculum had severe loss of neurons with astrocytic gliosis. The nucleus basalis of Meynert was unremarkable, similar to the original case series which had no or minimal changes.¹ There was loss of neurons from the substantia nigra and tau-immunoreactive NFTs and pretangles were present in the pigmented nuclei. Scattered diffuse A β deposits were present in the cerebellum. *PGRN* immunohistochemistry revealed that

TABLE 1. Distribution and Severity of Neuronal Loss and Gliosis in HDDD1 With *PGRN* Mutation

Brain Area	Neuronal Loss and Gliosis			
	Case No. 1 IV-4*	Case No. 2 IV-6*	Case No. 3 IV-2*	Case No. 4 IV-1*
Brain weight, fixed (g)	570	NA	810	NA
Frontal lobe	+++	+++	+++	+++
Temporal lobe	+++	+++	+++	+++
Parietal lobe	++	+	+++	+++
Occipital lobe	++	NA	+++	+
Amygdala	+++	+	NA	+
Entorhinal cortex	+++	+	+++	+
Hippocampus				
CA1	+++	+	++	++
CA2-CA4	++	0	+	+
Dentate fascia	++	+	+	+
Caudate nucleus	+++	+	+	+
Putamen	++	+	+	+
Nucleus basalis of Meynert	0	+	NA	+
Thalamus	+	+	+	+
Substantia nigra	++	++	NA	++
Locus coeruleus	+	0	NA	+
Inferior olivary nucleus	+	0	NA	0
Cerebellum	+	0	+	+

*Patient No. as in Ref. 1.

NA indicates not available. Density of lesions: 0, none; +, few/mild; ++, moderate; +++, severe/many.

this protein was not present in the ubiquitin-positive, tau-negative inclusions.

Direct DNA Sequencing Analysis

Sequence analysis of the *PGRN* gene identified a novel heterozygous mutation at the 5' splice site of exon 7 (IVS6-2A > G). The mutation was found to cosegregate with FTL-D-U and at risk individuals but was absent in the unaffected members (Fig. 6). Mutation c.5913 A > G (IVS6-2A > G) is predicted to cause the splicing out of exon 7 thereby resulting in an aberrant transcript.⁸ Analysis of the 14 *tau* exons and the 480 base pairs of the *tau* promoter failed to show mutations in the sample examined. Similarly, there were no mutations in the *APP*,

PS1, and *PS2* genes. The patient (case no. 1) carried *apolipoprotein E* alleles ε3 and ε4.

DISCUSSION

The present report describes clinical, pathologic, and genetic characteristics of a new member of the HDDD1 kindred with the application of modern immunohistochemical procedures and screening for *PGRN* mutations. The clinical presentation of this patient with a history of behavioral alterations, expressive language abnormalities, and deterioration of memory was very similar to the clinical presentation of other members of this family.¹ Clinically, the presentation of this and the other cases of the HDDD1 family can be

TABLE 2. Spectrum of Ubiquitin-positive, Tau-negative Inclusions and AD-Type Changes in HDDD1 With *PGRN* Mutation

Brain Area	Ubiquitinated Neuronal Inclusion	Case No.			
		1	2	3	4
Frontal neocortex	NCI	++	+++	++	++
	DN	++	++	++	++
	NII	+	+	+	+
Temporal neocortex	NCI	++	+++	++	++
	DN	++	++	++	+++
	NII	0	0	+	0
Dentate gyrus	NCI	+	+	+	+
	DN	0	0	0	0
	NII	+	0	+	0
Striatum	NCI	++	NA	NA	NA
	DN	++	NA	NA	NA
	NII	+	NA	NA	NA
Alzheimer-type changes					
Braak stage		V-C	II-C	II-C	I-B

NA indicates not available. Density of lesions: 0, none; +, few; ++, moderate; +++, frequent. Alzheimer-type changes: Braak neurofibrillary tangle stage, range 0 to VI and amyloid stage, range 0 to C.

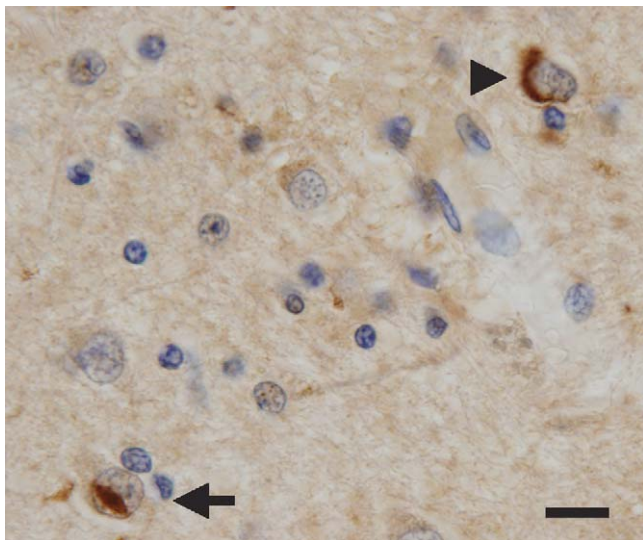


FIGURE 3. High power micrograph of ubiquitin-positive, tau-negative inclusions in the middle frontal gyrus of case no. 3 showing a NCI (arrowhead) and a NII (arrow). Ubiquitin immunohistochemistry. Bar = 50 μ m.

classified as FTLN, frontotemporal dementia variant, according to current clinical diagnostic criteria.⁴ The patient had an uncommonly protracted course of the disease after reaching the point of total care (total disease duration was 22 y).

The neuropathologic diagnosis of this new member of the HDDD1 pedigree met current accepted neuropathologic criteria for both FTLN-U (FTLN-motor neuron disease-type)⁵ and AD according to the Consortium to Establish a Registry for Alzheimer's Disease (CERAD), and the National Institute on Aging (NIA)-Reagan Institute.⁹ In the staging scheme of Braak, the NFT stage was V and amyloid stage C.¹⁰ A similar pattern of a combination of frontotemporal neuronal

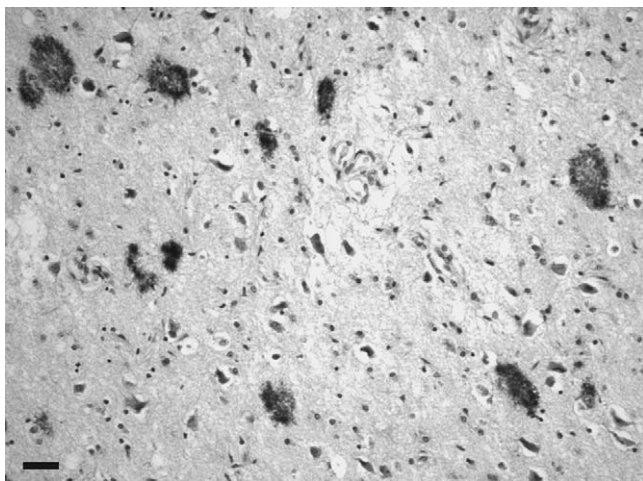


FIGURE 4. A β deposits in the temporal lobe of HDDD1 (case no. 1). Diffuse and more compact A β plaques are present. A β (10D5) immunohistochemistry. Bar = 10 μ m.

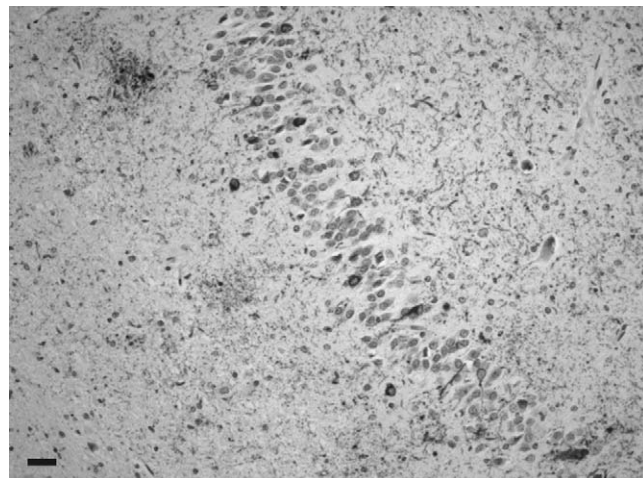


FIGURE 5. Phosphorylated tau inclusions in the dentate gyrus of the hippocampus of HDDD1 (case no. 1). DNIs are present in the hippocampal subfield CA4 (right) and molecular layer (left). Tau (PHF1) immunohistochemistry. Bar = 10 μ m.

loss, microvacuolation, gliosis, ubiquitin-positive inclusions, and features of AD pathology were present in 3 out of the 4 original cases in the HDDD1 kindred.¹ In the original report, Morris et al¹ proposed that the pathology of the disorder in this family belonged to an "Alzheimer-Pick spectrum." This designation was based primarily on the atrophy pattern because neither Pick cells, nor Pick bodies were present. Since the time of the original report, the clinicopathologic features are now characterized as FTLN-U, as the diagnosis of PiD is reserved for cases with tau-positive Pick bodies. According to current nosology,^{5,11} the pathology of HDDD1 cases represents combined FTLN-U and AD. The symptomatic onset of the disorder was marked by the clinical features of FTLN. Combined with the pronounced devastation of the frontal lobes and relative sparing of the medial temporal lobes, the clinical onset suggests that FTLN-U is the primary disease followed by AD which affected those regions not already devastated by FTLN-U. As the devastation is so dramatic in this case, the possibility that these 2 distinct neurodegenerative disease processes act synergistically cannot be excluded.

A new finding not described in the original report of HDDD1¹ is the similarity between FTLN-U in this case and other familial cases of FTLN-U that have ubiquitin-positive, but tau- and Gallyas-negative inclusions in dentate fascia neurons and neocortex.^{10–15} Although sporadic and familial amyotrophic lateral sclerosis cases show motor neuron loss and ubiquitin-positive, skeinlike inclusions in cranial motor nuclei including anterior horn cells of the spinal cord, these were absent in this case; the patient had no clinical signs of amyotrophic lateral sclerosis. The nucleus basalis of Meynert was preserved in the present case, a finding which was also observed with only minor neuronal loss in the original series of

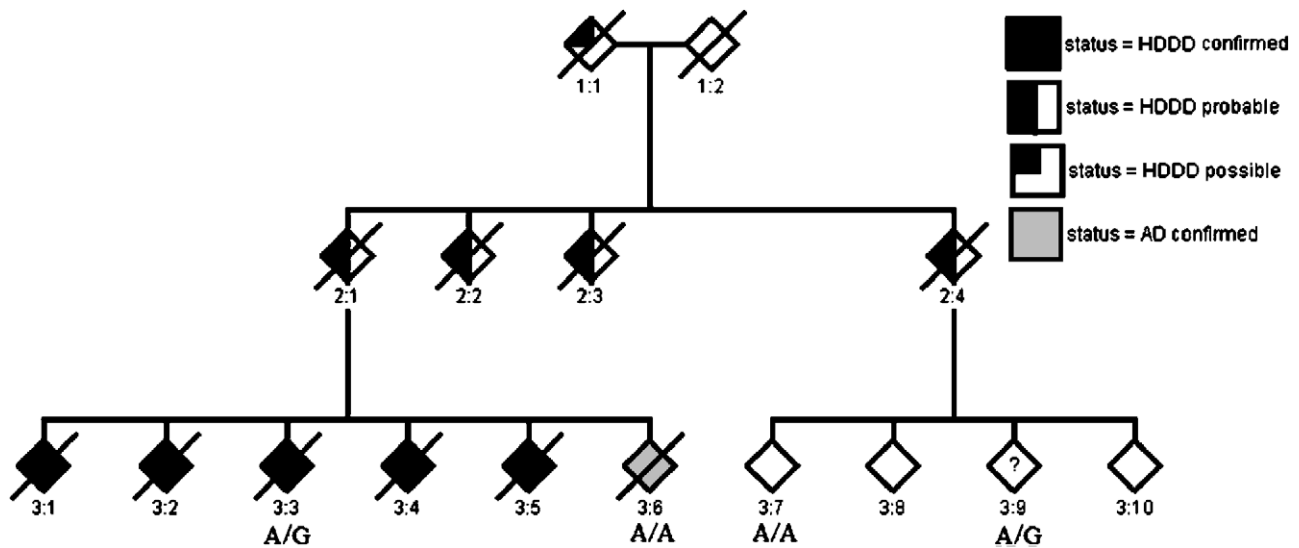


FIGURE 6. Segregation analysis of the splice site mutation c.5913 A>G (IVS6-2A>G) in the HDDD1 kindred. All information that could reveal the identity of members has been removed.

cases¹ but in contrast to the usual early involvement in typical AD.

Due to the presence of considerable Alzheimer-type pathology, the DNA was originally sequenced for the presence of *APP* mutations. In addition, *PS1* and *PS2* genes were sequenced because mutations in *PS1* gene have recently been described in familial FTLN,^{16–21} including 2 cases associated with neuropathology compatible with Pick disease.^{20,21} No mutations were found in these genes in the affected individual from HDDD1.

A subset of familial cases of FTLN is caused by mutations in the *tau* gene on chromosome 17.²² Sequencing of exons 1 to 14 and the promoter of *tau* showed no mutations in the present case. Several other FTLN families show linkage to chromosome 17 but lack mutations in *tau*.^{23–25} A linkage to chromosome 17 was observed in the HDDD2 kindred, a large family with a very similar clinical and pathologic phenotype as HDDD1.² After the discovery of *PGRN* mutations in other FTLN-U kindreds,⁶ we recently identified a novel *PGRN* mutation in HDDD2.⁷ Linkage studies were not feasible in the HDDD1 family due to the small size of the pedigree. Sequencing of the *PGRN* gene revealed a novel mutation, distinct from that causing HDDD2.

The co-occurrence of tauopathy in the form of NFT and extracellular A β plaques constitutes the pathologic definition of AD.²⁶ FTLN cases show variable degrees of tau deposits, and A β deposition is variable, most often correlated with an *apolipoprotein E* $\epsilon 4$ allele. The presence of both FTLN-motor neuron disease-type and AD pathology in HDDD1 may be a coincidence. It is also possible that there is a causal relationship between the 2 diseases, as supported by reports of the coexistence of FTLN and A β deposition.^{27–30} Prominent NP deposition, sufficient to meet criteria for AD^{9,31,32} has been reported in inherited FTDP-17. The autopsy of a patient (age at

death 61 y) of the LKL pedigree with FTDP-17 showed both tau pathology and A β deposits. In 2 out of 7 cases of hereditary FTDs with *tau* mutations (ages 76 and 70 y old), Rosso et al²⁸ described diffuse and NPs. In another series of 54 autopsy cases of FTLN, Mann et al²⁹ reported that 14 cases (26%) had A β deposits. These patients were older at onset of illness than those without A β (mean onset age \pm SD, 60.9 \pm 8.5 y for those with A β , 54.9 \pm 8.3 y for those without A β , $P < 0.025$). Lantos et al³⁰ found that in 3 of 12 cases of FTD with *tau* mutation in exon 10+16 there was coexisting AD pathology. An *apolipoprotein E* $\epsilon 4$ allele genotype was associated with the AD pathology,^{29,30} which is consistent with the presence of an $\epsilon 4$ allele in the present case.

Of the other 4 members of the HDDD1 kindred described in the original report,¹ 3 had abundant A β deposition. The only case without A β deposition died at age 61 years (the age at death of the other cases was 66, 74, and 77 y). An acceleration of NFT formation due to A β deposition has been proposed in nondemented aging and preclinical AD,^{31,32} and links between tau and A β deposition have been recently proposed.^{33–35} It is speculated that mutant *tau* may result in A β deposition,²⁶ consistent with the observation that NFTs precede A β deposits in the entorhinal cortex in AD.^{10,36,37} A recent report found a 2-fold increase of soluble intracellular A $\beta 42$ and A $\beta 40$ in FTDP-17 cases compared with normal or FTLN brains, suggesting that tau aggregation might induce an accumulation of A β .^{35,38}

The identification of a mutation in the *PGRN* in HDDD1 links this family to other FTLN-U families in which *PGRN* mutations have been identified.^{6–8} Although the frontal and temporal lobes are preferentially affected, there may be considerable variation in the type of ubiquitinated inclusions (NCI, DN, or NII) and their density, not only between members of the same kindred,

but also within the same brain. Studies are ongoing to determine the relationship between different PGRN mutations and clinical and neuropathologic heterogeneity.

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